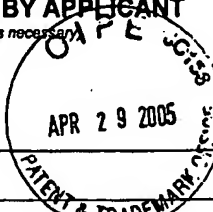


Substitute for form 1449A/PTO  
**INFORMATION DISCLOSURE  
 STATEMENT BY APPLICANT**  
 (Use as many sheets as necessary)

Sheet 1 of 1



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Complete if Known

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| Application Number   | 10/698,575       |
| Filing Date          | October 31, 2003 |
| First Named Inventor | Vargas, Jaime    |
| Group Art Unit       | Unknown 3731     |
| Examiner Name        | Unknown TRUONH   |

Attorney Docket No: 146

**US PATENT DOCUMENTS**

| Examiner Initials* | USP Document Number | Publication Date | Name of Patentee or Applicant of cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
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| W                  | US-2002/0082614     | 06/27/2002       | Logan, et al.                                   |   |
|                    | US-2002/0082614     | 02/27/2004       | Logan, , et al.                                 |   |
|                    | US-2003/0065342     | 04/03/2003       | Nobis,  |   |
|                    | US-4,018,228        | 04/19/1977       | Goosen,   |   |
|                    | US-4,625,727        | 12/02/1986       | Leiboff   |   |
|                    | US-4,628,550        | 08/01/2002       | Vargas, et al.                                  |   |
|                    | US-5,199,983        | 06/09/1992       | Green, et al.                                   |   |
|                    | US-5,221,259        | 06/22/1993       | Weldon, et al.                                  |   |
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|                    | US-5,403,338        | 04/04/1995       | Milo  |   |
|                    | US-5,470,320        | 11/28/1995       | Tiefenbrun, et al.                              |   |
|                    | US-5,871,495        | 02/16/1999       | Mueller   |   |
|                    | US-5,910,153        | 06/08/1999       | Mayenberger                                     |   |
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|                    | US-5,972,014        | 10/26/1999       | Nevins  |   |
|                    | US-5,976,178        | 11/02/1999       | Goldsteen, et al.                               |   |
|                    | US-5,979,920        | 08/25/1998       | Kim   |   |
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|                    | US-6,254,651        | 06/07/1966       | Collito   |   |
|                    | US-6,302,905        | 10/16/2001       | Goldsteen, et al.                               |   |
|                    | US-6,309,416        | 10/30/2001       | Swanson, et al.                                 |   |
|                    | US-6,391,036        | 05/21/2002       | Berg, et al.                                    |   |
|                    | US-6402764B1        | 06/11/2002       | Hendricksen                                     |   |
|                    | US-6,461,320        | 10/08/2002       | Yencho, et al.                                  |   |
|                    | US-6,485,496        | 11/26/2002       | Suyker, et al.                                  |   |
|                    | US-6,524,322        | 02/25/2003       | Berrekouw                                       |   |
|                    | US-6,605,098        | 08/12/2003       | Nobis, et al.                                   |   |
|                    | US-6,695,859        | 02/24/2004       | Golden, et al.                                  |   |

**OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS**

| Examiner Initials* | Cite No <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T <sup>2</sup> |
|--------------------|----------------------|---|----------------|
|                    |                      | "Cardica PAS-Port Proximal Anastomosis System 510(k)", Section VI.C., "Substantial Equivalence", and Attachment 7 (Unpublished)   |                |
|                    |                      | Sales training brochure entitled "CorLink Automated Anastomosis Device" (2002)  |                |

EXAMINER

*E. Truong*

DATE CONSIDERED

3/17/06

Substitute Disclosure Statement Form (PTO-1449)

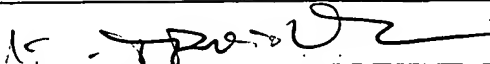
\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional) 2 Applicant to place a check mark here if English language Translation is attached

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| <b>FORM PTO-1449</b><br><br><b>LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S<br/>INFORMATION DISCLOSURE STATEMENT</b><br><br>(Use several sheets if necessary) | <b>ATTY. DOCKET NO.</b><br>146            | <b>SERIAL NO.</b><br>N/A |
|  | <b>APPLICANT:</b><br>Vargas, Jaime et al. |                          |
|  | <b>FILING DATE:</b><br>10/31/03           | <b>GROUP:</b><br>N/A     |

| U.S. PATENT DOCUMENTS |  |                 |            |                  |       |           |             |
|-----------------------|--|-----------------|------------|------------------|-------|-----------|-------------|
| EXAMINER<br>INITIAL   |  | DOCUMENT NUMBER | DATE       | NAME             | CLASS | SUB CLASS | FILING DATE |
| W                     |  | 2,370,776       | 3/1/1945   | CARLSON          |       |           |             |
|                       |  | 3,254,650       | 6/7/1966   | COLLITO          |       |           |             |
|                       |  | 3,254,651       | 6/7/1966   | COLLITO          |       |           |             |
|                       |  | 3,519,187       | 7/7/1970   | KAPITANOV, ET AL |       |           |             |
|                       |  | 3,774,615       | 11/27/1973 | LIM, ET AL       |       |           |             |
|                       |  | 4,118,806       | 10/10/1978 | PORIER ET AL     |       |           |             |
|                       |  | 4,214,587       | 7/29/1980  | SAKURA, JR       |       |           |             |
|                       |  | 4,217,664       | 8/19/1980  | FASO             |       |           |             |
|                       |  | 4,350,160       | 9/21/1982  | KOLESOV, ET AL   |       |           |             |
|                       |  | 4,352,358       | 10/5/1982  | ANGELCHIK        |       |           |             |
|                       |  | 4,366,819       | 1/4/1983   | KASTER           |       |           |             |
|                       |  | 4,368,736       | 1/18/1983  | KASTER           |       |           |             |
|                       |  | 4,503,568       | 3/12/1985  | MADRAS           |       |           |             |
|                       |  | 4,523,529       | 6/18/1985  | DANIEL           |       |           |             |
|                       |  | 4,534,761       | 8/13/1985  | RAIBLE           |       |           |             |
|                       |  | 4,553,542       | 11/19/1985 | SCHENCK, ET AL   |       |           |             |
|                       |  | 4,577,631       | 3/25/1986  | KREAMER          |       |           |             |
|                       |  | 4,589,416       | 5/20/1986  | GREEN            |       |           |             |
|                       |  | 4,593,693       | 6/10/1986  | SCHENCK          |       |           |             |
|                       |  | 4,603,693       | 8/5/1986   | CONTA, ET AL.    |       |           |             |
|                       |  | 4,607,637       | 8/26/1986  | BERGGREN, ET AL  |       |           |             |
|                       |  | 4,624,255       | 11/25/1986 | SCHENCK, ET AL   |       |           |             |
|                       |  | 4,624,257       | 11/25/1986 | BERGGREN, ET AL  |       |           |             |
|                       |  | 4,657,019       | 4/14/1987  | WALSH, ET AL     |       |           |             |
|                       |  | 4,665,906       | 5/19/1987  | JERVIS           |       |           |             |
|                       |  | 4,721,109       | 1/26/1988  | HEALEY           |       |           |             |

| FOREIGN PATENT DOCUMENTS |  |                 |      |         |       |              |                       |
|--------------------------|--|-----------------|------|---------|-------|--------------|-----------------------|
| EXAMINER<br>INITIAL      |  | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUB<br>CLASS | TRANSLATION<br>YES NO |
|                          |  |                 |      |         |       |              |                       |

| OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.) |  |  |  |  |  |  |  |
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|--|--------------------------------|--------------------------|
| <b>FORM PTO-1449</b><br><br><b>LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S<br/>INFORMATION DISCLOSURE STATEMENT</b><br><br>(Use s v r a l s h t s i f n e c e s s a r y) | <b>ATTY. DOCKET NO.</b><br>146 | <b>SERIAL NO.</b><br>N/A |
| <b>APPLICANT:</b><br>Vargas, Jaime et al.  |                                |                          |
| <b>FILING DATE:</b><br>10/31/03  |                                | <b>GROUP:</b><br>N/A     |

| U.S. PATENT DOCUMENTS |  |                 |            |                     |       |           |             |
|-----------------------|--|-----------------|------------|---------------------|-------|-----------|-------------|
| EXAMINER<br>INITIAL   |  | DOCUMENT NUMBER | DATE       | NAME                | CLASS | SUB CLASS | FILING DATE |
| <div>W</div>          |  | 4,747,407       | 5/31/1988  | LIU, ET AL          |       |           |             |
|                       |  | 4,752,024       | 6/21/1988  | GREEN, ET AL.       |       |           |             |
|                       |  | 4,773,420       | 9/27/1988  | GREEN               |       |           |             |
|                       |  | 4,861,330       | 8/29/1989  | VOSS                |       |           |             |
|                       |  | 4,875,815       | 10/1/1989  | PHILLIPS II         |       |           |             |
|                       |  | 4,883,453       | 11/28/1989 | BERRY, ET AL.       |       |           |             |
|                       |  | 4,892,098       | 1/9/1990   | SAUER               |       |           |             |
|                       |  | 4,907,591       | 3/13/1990  | VASCONCELLOS, ET AL |       |           |             |
|                       |  | 4,917,087       | 4/17/1990  | WALSH, ET AL        |       |           |             |
|                       |  | 4,917,090       | 4/17/1990  | BERGGREN, ET AL     |       |           |             |
|                       |  | 4,917,091       | 4/17/1990  | BERGGREN, ET AL     |       |           |             |
|                       |  | 4,930,674       | 6/5/1990   | BARAK               |       |           |             |
|                       |  | 5,005,749       | 4/9/1991   | ARANYI              |       |           |             |
|                       |  | 5,015,238       | 5/14/1991  | SOLOMON ET AL       |       |           |             |
|                       |  | 5,062,842       | 11/5/1991  | TIFFANY             |       |           |             |
|                       |  | 5,089,006       | 2/18/1992  | STILES              |       |           |             |
|                       |  | 5,100,423       | 3/1/1992   | FEARNOT, NEAL E.    |       |           |             |
|                       |  | 5,104,025       | 4/14/1992  | MAIN, ET AL.        |       |           |             |
|                       |  | 5,119,983       | 6/9/1992   | GREEN, ET AL        |       |           |             |
|                       |  | 5,129,913       | 7/14/1992  | RUPPERT             |       |           |             |
|                       |  | 5,156,613       | 10/20/1992 | SAWYER              |       |           |             |
|                       |  | 5,156,619       | 10/20/1992 | EHRENFELD           |       |           |             |
|                       |  | 5,171,262       | 12/15/1992 | MACGREGOR           |       |           |             |
|                       |  | 5,178,634       | 1/12/1993  | RAMOS MARTINEZ      |       |           |             |
|                       |  | 5,187,796       | 2/16/1993  | WANG, ET AL.        |       |           |             |
|                       |  | 5,192,289       | 3/9/1993   | JESSEN              |       |           |             |

| FOREIGN PATENT DOCUMENTS |  |                 |      |         |       |              |                       |
|--------------------------|--|-----------------|------|---------|-------|--------------|-----------------------|
| EXAMINER<br>INITIAL      |  | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUB<br>CLASS | TRANSLATION<br>YES NO |
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| OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.) |
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|   |   |                                |                          |   |  |                                 |                      |
|---|---|--------------------------------|--------------------------|---|--|---------------------------------|----------------------|
| <b>FORM PTO-1449</b><br><br><b>LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S<br/>INFORMATION DISCLOSURE STATEMENT</b><br><br>(Us several sheets if necessary) | <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%;"> <b>ATTY. DOCKET NO.</b><br/>146         </td> <td style="width: 50%;"> <b>SERIAL NO.</b><br/>N/A         </td> </tr> <tr> <td colspan="2"> <b>APPLICANT:</b><br/>Vargas, Jaime et al.         </td> </tr> <tr> <td> <b>FILING DATE:</b><br/>10/31/03         </td> <td> <b>GROUP:</b><br/>N/A         </td> </tr> </table> | <b>ATTY. DOCKET NO.</b><br>146 | <b>SERIAL NO.</b><br>N/A | <b>APPLICANT:</b><br>Vargas, Jaime et al. |  | <b>FILING DATE:</b><br>10/31/03 | <b>GROUP:</b><br>N/A |
| <b>ATTY. DOCKET NO.</b><br>146  | <b>SERIAL NO.</b><br>N/A  |                                |                          |   |  |                                 |                      |
| <b>APPLICANT:</b><br>Vargas, Jaime et al.   |   |                                |                          |   |  |                                 |                      |
| <b>FILING DATE:</b><br>10/31/03   | <b>GROUP:</b><br>N/A  |                                |                          |   |  |                                 |                      |

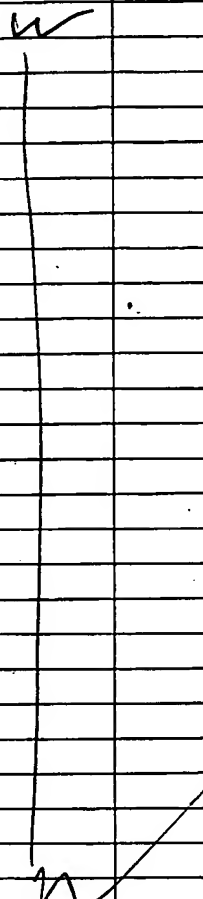
| U.S. PATENT DOCUMENTS |                 |            |                      |       |           |             |
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| h                     | 5,193,731       | 3/16/1993  | ARANYI               |       |           |             |
|                       | 5,205,459       | 4/27/1993  | BRINKERHOFF, ET AL.  |       |           |             |
|                       | 5,211,683       | 5/18/1993  | MAGINOT              |       |           |             |
|                       | 5,217,474       | 6/8/1993   | ZACCA, ET AL         |       |           |             |
|                       | 5,221,281       | 6/22/1993  | KLICEK               |       |           |             |
|                       | 5,222,963       | 6/29/1993  | BRINKERHOFF, ET AL.  |       |           |             |
|                       | 5,234,447       | 8/10/1993  | KASTER, ET AL        |       |           |             |
|                       | 5,250,058       | 10/5/1993  | MILLER, ET AL.       |       |           |             |
|                       | 5,250,060       | 10/5/1993  | CARBO, ET AL         |       |           |             |
|                       | 5,271,544       | 12/21/1993 | FOX, ET AL.          |       |           |             |
|                       | 5,275,322       | 1/4/1994   | BRINKERHOFF, ET AL.  |       |           |             |
|                       | 5,285,945       | 2/15/1994  | BRINKERHOFF, ET. AL. |       |           |             |
|                       | 5,290,298       | 3/1/1994   | REBUFFAT, ET AL.     |       |           |             |
|                       | 5,292,053       | 3/8/1994   | BILOTTI, ET AL.      |       |           |             |
|                       | 5,304,220       | 4/19/1994  | MAGINOT              |       |           |             |
|                       | 5,314,435       | 5/24/1994  | GREEN, ET AL.        |       |           |             |
|                       | 5,314,468       | 5/24/1994  | MARTINEZ             |       |           |             |
|                       | 5,326,205       | 7/1/1994   | ANSPACH, JR ET AL.   |       |           |             |
|                       | 5,333,773       | 8/2/1994   | MAIN, ET AL.         |       |           |             |
|                       | 5,336,233       | 8/9/1994   | CHEN                 |       |           |             |
|                       | 5,350,104       | 9/27/1994  | MAIN, ET AL.         |       |           |             |
|                       | 5,354,302       | 10/11/1994 | KO                   |       |           |             |
|                       | 5,364,389       | 11/15/1994 | ANDERSON             |       |           |             |
|                       | 5,366,462       | 11/22/1994 | KASTER, ET AL        |       |           |             |
|                       | 5,392,979       | 2/28/1995  | GREEN, ET AL.        |       |           |             |
|                       | 5,395,030       | 3/7/1995   | KURAMOTO, ET AL.     |       |           |             |

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| EXAMINER<br>INITIAL      | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUB<br>CLASS | TRANSLATION<br>YES NO |  |
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| OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.) |
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| <b>APPLICANT:</b><br>Vargas, Jaime et al.  |                                |                          |
| <b>FILING DATE:</b><br>10/31/03  |                                | <b>GROUP:</b><br>N/A     |

| U.S. PATENT DOCUMENTS  |  |                 |            |                   |       |           |             |
|--|--|-----------------|------------|-------------------|-------|-----------|-------------|
| EXAMINER<br>INITIAL  |  | DOCUMENT NUMBER | DATE       | NAME              | CLASS | SUB CLASS | FILING DATE |
|  |  | 5,395,311       | 3/7/1995   | ANDREWS           |       |           |             |
|  |  | 5,401,131       | 3/1/1995   | YOSHINO           |       |           |             |
|  |  | 5,443,497       | 8/22/1995  | VENBRUX           |       |           |             |
|  |  | 5,447,514       | 9/5/1995   | GERRY, ET AL.     |       |           |             |
|  |  | 5,454,825       | 10/3/1995  | VAN LEEUWEN       |       |           |             |
|  |  | 5,456,712       | 10/10/1995 | MAGINOT           |       |           |             |
|  |  | 5,456,714       | 10/10/1995 | OWEN              |       |           |             |
|  |  | 5,464,449       | 11/7/1995  | RYAN, ET AL       |       |           |             |
|  |  | 5,465,895       | 11/14/1995 | KNODEL, ET AL.    |       |           |             |
|  |  | 5,478,354       | 12/26/1995 | TOVEY             |       |           |             |
|  |  | 5,503,635       | 4/2/1996   | SAUER, ET AL.     |       |           |             |
|  |  | 5,515,478       | 5/7/1996   | WANG              |       |           |             |
|  |  | 5,522,834       | 6/4/1996   | FONGER, ET AL     |       |           |             |
|  |  | 5,524,180       | 6/4/1996   | WANG, ET AL       |       |           |             |
|  |  | 5,533,661       | 7/9/1996   | MAIN, ET AL.      |       |           |             |
|  |  | 5,540,677       | 7/30/1996  | SINOFSKY          |       |           |             |
|  |  | 5,553,198       | 9/3/1996   | WANG, ET AL.      |       |           |             |
|  |  | 5,556,405       | 9/17/1996  | LARY              |       |           |             |
|  |  | 5,558,667       | 9/24/1996  | YARBOROUGH, ET AL |       |           |             |
|  |  | 5,571,167       | 11/5/1996  | MAGINOT           |       |           |             |
|  |  | 5,603,721       | 2/1/1997   | LAU ET AL         |       |           |             |
|  |  | 5,643,340       | 7/1/1997   | NUNOKAWA          |       |           |             |
|  |  | 5,645,520       | 7/8/1997   | NAKAMURA, ET AL.  |       |           |             |
|  |  | 5,657,429       | 8/12/1997  | WANG, ET AL.      |       |           |             |
|  |  | 5,667,513       | 9/1/1997   | TORRIE, ET AL     |       |           |             |
|  |  | 5,667,520       | 9/1/1997   | BONUTTI, PETER M. |       |           |             |

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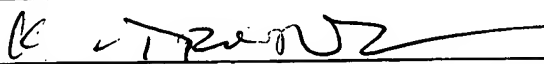
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| OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.) |
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|  | <b>APPLICANT:</b><br>Vargas, Jaime et al. |                          |
|  | <b>FILING DATE:</b><br>10/31/03           | <b>GROUP:</b><br>N/A     |

| U.S. PATENT DOCUMENTS |  |                 |            |                    |       |           |             |
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| EXAMINER<br>INITIAL   |  | DOCUMENT NUMBER | DATE       | NAME               | CLASS | SUB CLASS | FILING DATE |
| h                     |  | 5,669,918       | 9/23/1997  | BALAZS, ET AL      |       |           |             |
|                       |  | 5,669,934       | 9/23/1997  | SAWYER             |       |           |             |
|                       |  | 5,676,670       | 10/14/1997 | KIM                |       |           |             |
|                       |  | 5,693,088       | 12/2/1997  | LAZARUS            |       |           |             |
|                       |  | 5,695,504       | 12/9/1997  | GIFFORD III, ET AL |       |           |             |
|                       |  | 5,702,412       | 12/30/1997 | POPOV, ET AL       |       |           |             |
|                       |  | 5,707,362       | 1/13/1998  | YOON               |       |           |             |
|                       |  | 5,707,380       | 1/13/1998  | HINCHLIFFE, ET AL  |       |           |             |
|                       |  | 5,709,335       | 1/20/1998  | HECK               |       |           |             |
|                       |  | 5,709,693       | 1/20/1998  | TAYLOR             |       |           |             |
|                       |  | 5,725,544       | 3/10/1998  | RYGAARD            |       |           |             |
|                       |  | 5,725,553       | 3/10/1998  | MOENNING           |       |           |             |
|                       |  | 5,732,872       | 3/31/1998  | BOLDUC ET AL       |       |           |             |
|                       |  | 5,754,741       | 5/19/1998  | WANG, ET AL.       |       |           |             |
|                       |  | 5,755,778       | 5/1/1998   | KLESHINSKI         |       |           |             |
|                       |  | 5,762,458       | 6/9/1998   | WANG, ET AL.       |       |           |             |
|                       |  | 5,779,718       | 7/14/1998  | GREEN, ET AL.      |       |           |             |
|                       |  | 5,792,135       | 8/11/1998  | MADHANI, ET AL.    |       |           |             |
|                       |  | 5,797,900       | 8/25/1998  | MADHANI, ET AL.    |       |           |             |
|                       |  | 5,797,920       | 8/25/1998  | KIM                |       |           |             |
|                       |  | 5,799,661       | 9/1/1998   | BOYD, ET AL.       |       |           |             |
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|                       |  | 5,807,377       | 9/15/1998  | MADHANI, ET AL.    |       |           |             |
|                       |  | 5,814,073       | 9/29/1998  | BONUTTI            |       |           |             |
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|                       |  | 5,817,113       | 10/6/1998  | GIFFORD III, ET AL |       |           |             |

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| <b>EXAMINER:</b><br>  | <b>DATE CONSIDERED:</b><br>2/17/06 |
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| <b>FORM PTO-1449</b><br><br><b>LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S<br/>INFORMATION DISCLOSURE STATEMENT</b><br><br>(Us s v ral she ts lf n c ssary) | <b>ATTY. DOCKET NO.</b><br>146            | <b>SERIAL NO.</b><br>N/A |
|   | <b>APPLICANT:</b><br>Vargas, Jaime et al. |                          |
|   | <b>FILING DATE:</b><br>10/31/03           | <b>GROUP:</b><br>N/A     |

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| EXAMINER<br>INITIAL   |  | DOCUMENT NUMBER | DATE       | NAME               | CLASS | SUB CLASS | FILING DATE |
| W                     |  | 5,827,316       | 10/27/1998 | YOUNG, ET AL.      |       |           |             |
|                       |  | 5,833,698       | 11/10/1998 | HINCHLIFFE, ET AL. |       |           |             |
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|                       |  | 5,841,950       | 11/24/1998 | WANG, ET AL.       |       |           |             |
|                       |  | 5,855,583       | 1/5/1999   | WANG, ET AL.       |       |           |             |
|                       |  | 5,868,761       | 2/1/1999   | NICHOLAS, ET AL.   |       |           |             |
|                       |  | 5,868,763       | 2/9/1999   | SPENCE, ET AL.     |       |           |             |
|                       |  | 5,875,782       | 3/2/1999   | FERRARI, ET AL.    |       |           |             |
|                       |  | 5,878,193       | 3/2/1999   | WANG, ET AL.       |       |           |             |
|                       |  | 5,879,371       | 3/9/1999   | GARDINER, ET AL.   |       |           |             |
|                       |  | 5,881,943       | 3/16/1999  | HECK ET AL         |       |           |             |
|                       |  | 5,893,369       | 4/1/1999   | LEMOLE             |       |           |             |
|                       |  | 5,904,697       | 5/18/1999  | GIFFORD III, ET AL |       |           |             |
|                       |  | 5,907,664       | 5/25/1999  | WANG, ET AL.       |       |           |             |
|                       |  | 5,911,036       | 6/8/1999   | WRIGHT, ET AL.     |       |           |             |
|                       |  | 5,915,616       | 6/29/1999  | VIOLA, ET AL.      |       |           |             |
|                       |  | 5,921,995       | 7/13/1999  | KLESHINSKI         |       |           |             |
|                       |  | 5,944,730       | 8/31/1999  | NOBLES ET AL       |       |           |             |
|                       |  | 5,947,363       | 9/7/1999   | BOLDUC ET AL       |       |           |             |
|                       |  | 5,957,363       | 9/28/1999  | HECK               |       |           |             |
|                       |  | 5,968,089       | 10/19/1999 | KRAJICEK           |       |           |             |
|                       |  | 5,976,159       | 11/2/1999  | BOLDUC ET AL       |       |           |             |
|                       |  | 6,001,124       | 12/14/1999 | BACHINSKI          |       |           |             |
|                       |  | 6,007,544       | 12/28/1999 | KIM                |       |           |             |
|                       |  | 6,013,190       | 1/11/2000  | BERG, ET AL.       |       |           |             |
|                       |  | 6,015,416       | 1/18/2000  | STEFANCHIK, ET AL. |       |           |             |

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| <b>EXAMINER:</b> K. T. P. M.   | <b>DATE CONSIDERED:</b> 3/17/04 |
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| <b>FORM PTO-1449</b><br><br><b>LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S<br/>INFORMATION DISCLOSURE STATEMENT</b><br><br>(Us s v ral sh ts if nec ssary) | <b>ATTY. DOCKET NO.</b><br>146            | <b>SERIAL NO.</b><br>N/A |
|  | <b>APPLICANT:</b><br>Vargas, Jaime et al. |                          |
|  | <b>FILING DATE:</b><br>10/31/03           | <b>GROUP:</b><br>N/A     |

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| EXAMINER<br>INITIAL   |  | DOCUMENT NUMBER | DATE       | NAME                   | CLASS | SUB CLASS | FILING DATE |
| W                     |  | 6,022,367       | 2/1/2000   | SHERTS                 |       |           |             |
|                       |  | 6,024,748       | 2/15/2000  | MANZO, ET AL.          |       |           |             |
|                       |  | 6,030,370       | 2/29/2000  | KUPKA, ET AL.          |       |           |             |
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|                       |  | 6,036,699       | 3/14/2000  | ANDREAS, ET AL.        |       |           |             |
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|                       |  | 6,036,702       | 3/14/2000  | BACHINKSKI, ET AL.     |       |           |             |
|                       |  | 6,036,703       | 3/14/2000  | EVANS, ET AL.          |       |           |             |
|                       |  | 6,036,704       | 3/14/2000  | YOON                   |       |           |             |
|                       |  | 6,036,705       | 3/14/2000  | NASH, ET AL.           |       |           |             |
|                       |  | 6,050,472       | 4/18/2000  | SHIBATA                |       |           |             |
|                       |  | 6,053,390       | 4/25/2000  | GREEN, ET AL.          |       |           |             |
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|                       |  | 6,066,144       | 5/23/2000  | WOLF, ET AL.           |       |           |             |
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|                       |  | 6,068,637       | 5/30/2000  | POPOV, ET AL.          |       |           |             |
|                       |  | 6,074,416       | 6/13/2000  | BERG, ET AL.           |       |           |             |
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|                       |  | 6,080,173       | 6/27/2000  | WILLIAMSON, IV, ET AL. |       |           |             |
|                       |  | 6,083,234       | 7/4/2000   | NICHOLAS, ET AL.       |       |           |             |
|                       |  | 6,110,188       | 8/29/2000  | NARCISO, JR            |       |           |             |
|                       |  | 6,113,612       | 9/5/2000   | SWANSON, ET AL         |       |           |             |
|                       |  | 6,117,148       | 9/12/2000  | RAVO, ET AL.           |       |           |             |
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|                       |  | 6,132,439       | 10/1/2000  | KONTOS, STAVROS        |       |           |             |
| W                     |  | 6,146,393       | 11/14/2000 | WAKABAYASHI            |       |           |             |

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| <b>EXAMINER:</b> <i>W. J. Davis</i>  | <b>DATE CONSIDERED:</b> <i>3/17/06</i> |
| EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant |  |



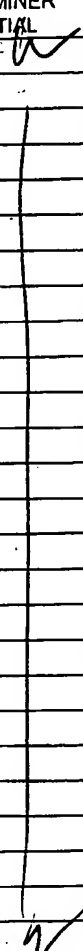
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| <b>FORM PTO-1449</b><br><br><b>LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b><br><br>(Use several sheets if necessary) | <b>ATTY. DOCKET NO.</b><br>146            | <b>SERIAL NO.</b><br>N/A |
|  | <b>APPLICANT:</b><br>Vargas, Jaime et al. |                          |
|  | <b>FILING DATE:</b><br>10/31/03           | <b>GROUP:</b><br>N/A     |

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| EXAMINER INITIAL      |  | DOCUMENT NUMBER | DATE       | NAME                | CLASS | SUB CLASS | FILING DATE |
| u                     |  | 6,149,681       | 11/21/2000 | HOUSER, ET AL.      |       |           |             |
|                       |  | 6,152,937       | 11/28/2000 | PETERSON, ET AL.    |       |           |             |
|                       |  | 6,152,945       | 11/28/2000 | BACHINSKI, ET AL.   |       |           |             |
|                       |  | 6,165,185       | 12/26/2000 | SHENNIB ET AL.      |       |           |             |
|                       |  | 6,167,889       | 1/2/2001   | BENETTI             |       |           |             |
|                       |  | 6,171,319       | 1/9/2001   | NOBLES, ET AL.      |       |           |             |
|                       |  | 6,171,321       | 1/9/2001   | GIFFORD, III ET AL. |       |           |             |
|                       |  | 6,176,413       | 1/23/2001  | HECK, ET AL.        |       |           |             |
|                       |  | 6,176,864       | 1/23/2001  | CHAPMAN             |       |           |             |
|                       |  | 6,186,942       | 2/13/2001  | SULLIVAN, ET AL.    |       |           |             |
|                       |  | 6,187,019       | 2/13/2001  | STEFANCHIK, ET AL.  |       |           |             |
|                       |  | 6,187,020       | 2/13/2001  | ZEGDI, ET AL.       |       |           |             |
|                       |  | 6,190,396       | 2/20/2001  | WHITIN, ET AL.      |       |           |             |
|                       |  | 6,190,397       | 2/20/2001  | SPENCE, ET AL.      |       |           |             |
|                       |  | 6,190,590       | 2/20/2001  | RANDALL, ET AL.     |       |           |             |
|                       |  | 6,193,129       | 2/27/2001  | BITTNER, ET AL.     |       |           |             |
|                       |  | 6,193,734       | 2/27/2001  | BOLDUC, ET AL.      |       |           |             |
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|                       |  | 6,206,913       | 3/27/2001  | YENCHO, ET AL.      |       |           |             |
|                       |  | 6,235,054       | 5/22/2001  | BERG, ET AL.        |       |           |             |
|                       |  | 6,371,964       | 4/1/2002   | VARGAS, ET AL.      |       |           |             |
|                       |  | 6,402,764       | 6/1/2002   | HENDRICKSON, ET AL. |       |           |             |
|                       |  | 6,428,550       | 8/1/2002   | VARGAS, ET AL.      |       |           |             |
|                       |  | 2001/0047180A1  | 11/1/2001  | GRUDEM ET AL.       |       |           |             |
|                       |  | 2002/0058955A1  | 12/1/2001  | BLATTER ET AL.      |       |           |             |

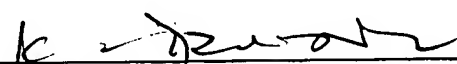
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|  | <b>APPLICANT:</b><br>Vargas, Jaime et al. |                          |
|  | <b>FILING DATE:</b><br>10/31/03           | <b>GROUP:</b><br>N/A     |

| FOREIGN PATENT DOCUMENTS   |                 |          |         |       |           |                    |  |
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| EXAMINER INITIAL   | DOCUMENT NUMBER | DATE     | COUNTRY | CLASS | SUB CLASS | TRANSLATION YES/NO |  |
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|  | 0 517 252       | 12/1/92  | EUROPE  |       |           |                    |  |
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|  | 0 820 724       | 1/3/00   | EUROPE  |       |           |                    |  |
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|  | 0 885 595       | 12/23/98 | EUROPE  |       |           |                    |  |
|  | 0 913 125       | 7/5/00   | EUROPE  |       |           |                    |  |
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|  | 0 990 420       | 12/27/00 | EUROPE  |       |           |                    |  |
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|  | 00/27310        | 5/18/00  | PCT     |       |           |                    |  |
|  | 00/27311        | 5/18/00  | PCT     |       |           |                    |  |
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|  | 00/53104        | 9/14/00  | PCT     |       |           |                    |  |

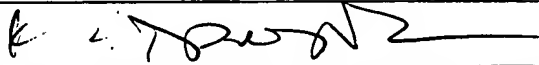
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|  | <b>APPLICANT:</b><br>Vargas, Jaime et al. |                          |
|  | <b>FILING DATE:</b><br>10/31/03           | <b>GROUP:</b><br>N/A     |

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| EXAMINER INITIAL         | DOCUMENT NUMBER | DATE     | COUNTRY | CLASS | SUB CLASS | TRANSLATION YES/NO |  |
| W                        | 00/56223        | 9/28/00  | PCT     |       |           |                    |  |
|                          | 00/56226        | 9/28/00  | PCT     |       |           |                    |  |
|                          | 00/56227        | 9/28/00  | PCT     |       |           |                    |  |
|                          | 00/56228        | 9/28/00  | PCT     |       |           |                    |  |
|                          | 00/59380        | 10/12/00 | PCT     |       |           |                    |  |
|                          | 00/66007        | 11/9/00  | PCT     |       |           |                    |  |
|                          | 00/66009        | 11/19/00 | PCT     |       |           |                    |  |
|                          | 00/69343        | 11/23/00 | PCT     |       |           |                    |  |
|                          | 00/69346        | 11/23/00 | PCT     |       |           |                    |  |
|                          | 00/69349        | 11/23/00 | PCT     |       |           |                    |  |
|                          | 00/69364        | 11/23/00 | PCT     |       |           |                    |  |
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|                          | 01/19257        | 3/22/01  | PCT     |       |           |                    |  |
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|                          | 92/08513        | 5/1/92   | PCT     |       |           |                    |  |
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|   | <b>APPLICANT:</b><br>Vargas, Jaime et al. |                          |
|   | <b>FILING DATE:</b><br>10/31/03           | <b>GROUP:</b><br>N/A     |

| FOREIGN PATENT DOCUMENTS |  |                 |          |         |       |           |                       |
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| EXAMINER<br>INITIAL      |  | DOCUMENT NUMBER | DATE     | COUNTRY | CLASS | SUB CLASS | TRANSLATION<br>YES/NO |
| W                        |  | 97/31575        | 9/4/97   | PCT     | 7     |           |                       |
|                          |  | 97/47261        | 12/18/97 | PCT     |       |           |                       |
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|                          |  | 98/19608        | 5/14/98  | PCT     |       |           |                       |
|                          |  | 98/19618        | 5/14/98  | PCT     |       |           |                       |
|                          |  | 98/19625        | 5/14/98  | PCT     |       |           |                       |
|                          |  | 98/19629        | 5/1/98   | PCT     |       |           |                       |
|                          |  | 98/19630        | 5/1/98   | PCT     |       |           |                       |
|                          |  | 98/19631        | 5/14/98  | PCT     |       |           |                       |
|                          |  | 98/19632        | 5/14/98  | PCT     |       |           |                       |
|                          |  | 98/19634        | 5/14/98  | PCT     |       |           |                       |
|                          |  | 98/19636        | 5/14/98  | PCT     |       |           |                       |
|                          |  | 98/30153        | 7/16/98  | PCT     |       |           |                       |
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| OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.) |  |
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**APPLICANT:**  
Vargas, Jaime et al.

**FILING DATE:**  
10/31/03

**GROUP:**  
N/A

## FOREIGN PATENT DOCUMENTS

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